

Evidence for improved pollen viability as the mechanism for film antitranspirant mitigation of drought damage to wheat yield

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1 **Evidence for improved pollen viability as the mechanism for film antitranspirant**
2 **mitigation of drought damage to wheat yield**

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7 **Abstract.** Application of film antitranspirant to wheat during late stem extension reduces
8 drought damage to yield, but the mechanism is unknown. Field experiments under rain
9 shelters were conducted over three years to test the hypothesis that film antitranspirant
10 applied before meiosis alleviates drought-induced losses of pollen viability, grain number
11 and yield. The film antitranspirant di-1-*p*-menthene was applied at third node stage, and
12 meiosis occurred at the early boot stage, with a range from 11 to 16 days after spray
13 application in different years. Irrigated, unsprayed plots were included under the rain
14 shelters, and pollen viability, measured in two years in these plots, averaged 95.3%.
15 Drought reduced pollen viability to 80.1% in unirrigated, unsprayed plots, but only to
16 88.60% in unirrigated plots treated with film antitranspirant. Grains m⁻² and yield of
17 irrigated plots, measured in all three years, were 16,529 and 9.55 t ha⁻¹ respectively on
18 average. These were reduced by drought to 11,410 and 6.31 t ha⁻¹ in unirrigated, unsprayed
19 plots, but only to 12,878 and 6.97 t ha⁻¹ in unirrigated plots treated with film
20 antitranspirant. Thus compared with unirrigated, unsprayed plots, antitranspirant gave a
21 grain yield benefit of 0.66 t ha⁻¹. Further work is needed to validate the pollen viability
22 mechanism in different climatic zones and with a wide range of cultivars.

23 **Summary Text for the Table of Contents**

24 Yield loss from drought in wheat, one of the world's major food crops, is an increasing
25 problem with climate change where irrigation is not possible. However, a spray application
26 of an antitranspirant polymer before the sensitive reproductive stage of the wheat crop has
27 been shown to enhance yield by reducing drought damage to pollen viability. This
28 knowledge will help farmers to time application of these polymers to droughted wheat so
29 that protection of yield from drought damage is more reliable.

30 **Additional keywords:** BBCH GS 33, pollen mother cells, polymer, water deficit, water
31 potential, water stress

32 **Running head:** Film antitranspirant and droughted wheat pollen

33 **Introduction**

34 The importance of reproductive development in the physiology of drought damage to
35 wheat is increasingly recognised (e.g. Ji *et al.* 2010). For yield formation, the stage of
36 meiosis in pollen mother cells is the most sensitive stage of the crop to drought stress.
37 Drought during this stage reduces pollen viability and hence number of grains and yield
38 (Saini and Westgate 2000). Potential for exploitation of this knowledge in breeding
39 drought tolerant wheat has been described for Australian germplasm (Ji *et al.* 2010).

40 It may also be possible to exploit this knowledge in improved crop management by using
41 film antitranspirants (Kettlewell *et al.* 2010). Research into film antitranspirants carried
42 out mainly in the period from the 1950s to the 1970s on a range of plant species showed
43 clearly that although transpiration from the leaf could be reduced, the antitranspirant films
44 are also less permeable to carbon dioxide entering the leaf and as a result photosynthesis
45 and growth are reduced (Solarova *et al.* 1981). Subsequent text books on plant water
46 relations (e.g. Jones 1992; Kramer and Boyer 1995) have concluded that the use of film

47 antitranspirants is only practical for situations, such as ornamentals, for which
48 photosynthesis is less important but reduction in transpiration is advantageous. Use of film
49 antitranspirants on cereal and other food crops, such as wheat, has not been recommended
50 because photosynthesis is important in yield formation (Whitmore 2000).

51 Applying a film antitranspirant around the sensitive stage of booting has, however, been
52 shown to be beneficial for wheat yield under high soil moisture deficits (Kettlewell *et al.*
53 2010), outweighing the detriment of reducing photosynthesis. Although it is speculated
54 that a reduction in drought-induced pollen sterility is the cause of this yield increase, there
55 is no previous published work investigating this mechanism. The research described in this
56 paper aimed to test the hypothesis that applying a film antitranspirant before the stage of
57 meiosis in pollen mother cells will lead to less negative leaf water potential, and alleviate
58 the effect of drought on pollen viability, grain set and yield.

59 Field experiments to test this hypothesis were carried out at one site in the UK in the three
60 years of 2009, 2010 and 2011 under rain shelters. A preliminary study was also conducted
61 to determine the growth stage at which meiosis occurs in pollen mother cells in the cultivar
62 of wheat used.

63 **Materials and Methods**

64 *Meiosis and growth stage*

65 The relationship between meiosis in pollen mother cells in winter wheat cultivar Claire and
66 both the crop growth stage (GS) defined by the BBCH code (Meier 2001) and the external
67 morphology of the shoot was explored using plants collected from field experimental plots
68 of irrigated controls, which were free from drought stress and not sprayed with
69 antitranspirants in 2009. The developing spikes were monitored for the initiation of anthers

70 by observing dissected shoots. Anthers were sampled every day after the stage where
71 anthers could be distinguished from other floral parts. Photographs of the shoots were
72 taken before dissecting so that the development stage and external appearance of the
73 shoots could be related to the development stage of the anthers as identified after
74 microscopic studies. Shoot morphology was characterised, at the beginning, in terms of the
75 emerged length of the flag leaf blade and later on, in terms of the distance between the
76 auricles of the flag leaf and the penultimate leaf, which is a measure of spikelet
77 development (Ji *et al.* 2010). The length of the spikes at sampling was also noted. Only the
78 spikelets from the middle of the spike, which are the most advanced in development
79 (Bennett *et al.* 1973), were used in the study and anthers were collected only from the two
80 most developed florets of the spikelets, which are the first and the second florets (Bennett
81 *et al.* 1973). Care was taken to note down which anthers were from which floret; i.e. from
82 the first floret or from the second floret. Whenever it was possible, anthers were used in
83 microscopic studies on the same day of sampling after fixing and staining in one step using
84 1% acetocarmine solution (Li *et al.* 2005). If this was not possible due to time constraints,
85 sampled spikelets were fixed in 1:3 Carnoy's solution (ethanol:acetic acid = 3:1) prior to
86 staining (Bennett *et al.* 1973). The fixed or fresh anthers were separated from the florets;
87 each anther was placed in a drop of 1% acetocarmine solution on a clean glass slide,
88 covered with a coverslip and squashed gently by tapping the cover slip (Bennett *et al.*
89 1973) so that the columns of archesporial cells were extruded on to the slide. Then the
90 slides were observed under the light microscope (Leitz DMRB, Leica, Nussloch,
91 Germany) and photographs were taken from freshly prepared slides with a digital camera
92 (Infinity-2, Lumenera Corporation, Ottawa ON, Canada) fixed to the microscope.

93 *Site and sowing*

94 All the field experiments were located within 100 m of each other in Flat Nook field, an
95 experimental site at Harper Adams University, Shropshire (52°46'N, 2°25'W) on a loamy
96 sand soil. The soil had 92% sand in the sub soil and 80% sand in the top soil, quantified by
97 particle size distribution analysis (MAFF/ADAS 1987). The field capacity for a depth of
98 80 cm was determined to be 160 mm from neutron probe measurements (Institute of
99 Hydrology Neutron Probe System, Wallingford). For a depth of 80 cm, the permanent
100 wilting point was quantified to be 62 mm (Hall *et al.* 1977) and the available soil water
101 capacity (AWC), which is the difference between the field capacity and the permanent
102 wilting point (Hall *et al.* 1977), was thus calculated to be 98 mm. Although all the
103 experiments were on the same experimental site, the exact location of the experiments was
104 different from year to year. The previous crops on the location of the experiments were:
105 2009 maize, 2010 fallow (no crop), 2011 oilseed rape (canola).

106 Soil preparation for sowing consisted of conventional ploughing and power-harrowing,
107 and seeds were sown at 2 cm depth on 26 November 2008, 19 October 2009 and 18
108 January 2011. The row spacing was 15 cm and the seed rate 350 seeds m⁻² in all years.

109 *Design and treatments in 2009*

110 The experiment was a 2 x 6 factorial split-plot design with three randomised blocks, with
111 each block in a separate rain shelter. Each block had two main plots, each main plot
112 consisting of six sub plots. There were two winter wheat cultivars, Claire and Einstein,
113 randomised to the two main plots in each block, and four antitranspirant treatments and
114 two controls randomised to the six sub plots. Sub plots were approximately 10 × 1.5 m.
115 Rain shelters were moved into position at the beginning of GS 25 (25 April 2009) and
116 from that stage until harvest water was only applied to the irrigated, unsprayed control
117 (IUC). The other control was unsprayed with no irrigation (UC). The four antitranspirant
118 (di-1-p-menthene 96%; Emerald; Intracrop Ltd, Lechlade) treatments were sprayed at GS

119 33 (15 May 2009), GS 39 (22 May 2009), GS 41 (28 May 2009) and GS 59 (11 June
120 2009). Potential evapotranspiration and SMD were calculated using the IMS irrigation
121 scheduling programme (Hess 1996) and SMD of the IUC plots was allowed to rise to 50%
122 of field capacity (80 mm). The amount of water equivalent to two days water use was
123 estimated and then applied on alternate days to the IUC plots to ensure that the SMD did
124 not exceed 80 mm, i.e. at least 18 mm of water available at the driest point in time, since
125 the AWC was calculated to be 98 mm.

126 *Design and treatments in 2010*

127 The experiment was conducted using one winter wheat cultivar, Claire, and was a 2 x 5
128 factorial split-plot design with three randomised blocks, with each block in a separate rain
129 shelter. Each block had two main plots, each main plot consisting of five sub plots. The
130 two main plots were randomly allocated to either a 'low SMD regime' i.e. less-stress, or a
131 'high SMD regime' i.e. more-stress. The five subplots were randomly allocated to four
132 antitranspirant treatments and the UC. An additional plot, the same size as the sub plots,
133 was randomised in each block for an IUC. Sub plots were approximately 10 × 1.5 m. Rain
134 shelters were moved into position at the beginning of GS 25 (28 April 2010). The plots of
135 the high SMD regime received no water until GS 69 when the SMD had risen to 115 mm,
136 i.e. no plant-available water, since the AWC was calculated as 98 mm. All high SMD plots
137 were then irrigated to field capacity at GS 69 (20 June 2010) and irrigation was continued
138 to maintain the SMD near field capacity until irrigation for the whole experiment was
139 ceased at GS 85 (15 July 2010) to enhance grain ripening. The SMD of the low SMD
140 regime plots was allowed to rise to 90 mm at GS 37 (20 May 2010). From GS 37 to GS69,
141 the amount of water equivalent to two days water use was estimated and then applied on
142 alternate days to all the plots in the low SMD regime to ensure that the SMD did not
143 exceed 90 mm, i.e. at least 8 mm available water at the driest point in time. All low SMD

144 plots were then irrigated to field capacity at GS 69 and irrigation was continued to
145 maintain the SMD near field capacity until irrigation for the whole experiment was ceased
146 at GS 85 to enhance grain ripening. The four antitranspirant treatments were di-1-p-
147 menthene sprayed at GS 31 (8 May 2010), GS 33 (16 May 2010) and GS 41 (25 May
148 2010) and latex (98%; Neo-Tex; Intracrop Ltd, Lechlade) sprayed at GS 41. For the IUC
149 plots, soon after the rain shelters were installed, the amount of water equivalent to two
150 days water use was estimated and then applied on alternate days until GS 69 to ensure that
151 the SMD did not exceed 40 mm (25% of field capacity), i.e. at least 58 mm available water
152 at the driest point in time. IUC plots were irrigated to field capacity at GS 69 and irrigation
153 was continued to maintain the SMD near field capacity until irrigation for the whole
154 experiment was ceased at GS 85 to enhance grain ripening.

155 *Design and treatments in 2011*

156 Two single factor experiments with antitranspirant treatments using cultivar Claire were
157 conducted in 2011. Experiment 1 had no irrigation from installation of the rain shelters at
158 GS 23 (1 April 2011) until GS 69 and Experiment 2 had no irrigation from installation of
159 the rain shelters to harvest. . Both experiments had three randomized blocks and each of
160 three rain shelters contained two blocks, one block of Experiment 1 and one block of
161 Experiment 2. Plot size for both experiments was 4 x 1.2 m and additional replication
162 within blocks was used to attempt to reduce the standard errors. There were six plots of
163 each treatment in each block of Experiment 1 and two plots of each treatment in
164 Experiment 2. . There were two antitranspirant treatments and an unsprayed, unirrigated
165 control in both Experiment 1 and Experiment 2. The antitranspirant treatments were di-1-
166 p-menthene sprayed at GS 33 (12 May 2011) and latex sprayed at GS 33. This growth
167 stage was chosen since it appeared to give the greatest effect of antitranspirant in the
168 previous two years. In addition to these treatments, two plots were located at one end of

169 each rain shelter (not randomized with the treatments), and were irrigated as a reference
170 for comparison with the treatment plots (irrigated unsprayed control: IUC). For these plots,
171 the amount of water equivalent to two days water use was estimated and then applied on
172 alternate days until GS 69 to ensure that the SMD did not exceed 40 mm (25% of field
173 capacity), i.e. at least 58 mm available water at the driest point in time. None of the
174 treatments in Experiment 1 were irrigated until GS 69 (15 June 2011) when the whole
175 experiment was irrigated to field capacity. Water was then withheld at GS 85 (10 July
176 2010) to enhance grain ripening. Experiment 2 was not irrigated at any time.

177 *Antitranspirant spray application, irrigation and crop management*

178 Antitranspirants were applied at 2.5 l ha⁻¹ in a volume of 200 l (1.25% v/v antitranspirant
179 product in water), using a hand held sprayer at a sprayer pressure of 0.2 MPa and a sprayer
180 speed of 1 m s⁻¹ with Flat Fan nozzles (Agratech; Lancashire; f110 03). The height of the
181 boom was maintained at 0.5 m above the crop canopy while spraying. Irrigation was
182 applied through irrigation tapes with 5 mm diameter emitters, each 10 cm apart, with one
183 tape on each side of every crop row. Every 100 mm of length of the tapes delivered 750 l
184 h⁻¹. Prior to moving the rain shelters into position the application of fertilizers and
185 nutrients followed typical practice for intensively-grown wheat in the UK, but no
186 applications of either fertilizers or nutrients were made after positioning the rain shelters to
187 avoid a possible difference in nutrient uptake between irrigated plots and non-
188 irrigated/droughted plots. Insecticides, herbicides and fungicides were applied whenever
189 necessary.

190 *Soil moisture measurements*

191 The IMS irrigation scheduling programme was updated continuously with data from
192 October 2008 to August 2011. The main inputs were: the maximum root length (80 cm

193 from the neutron probe readings); the “texture class” for both top-soil and sub-soil (loamy
194 sand; from particle size distribution analysis; MAFF/ADAS 1987); top soil depth (40 cm).
195 Weather data was obtained from the weather station at Harper Adams University
196 approximately 0.5 km from the experimental site.

197 In 2011, soil moisture measurements were taken with the neutron probe (Institute of
198 Hydrology Neutron Probe System, Wallingford). Access tubes were inserted in five
199 randomly-selected unsprayed control plots and in five randomly-selected irrigated
200 unsprayed control plots of the experiment under rain shelters. Soil moisture readings were
201 taken at 10 cm intervals from 2.5 cm to a maximum depth of 100 cm. *Leaf water potential*
202 *measurements*

203 Leaf water potential of the penultimate leaf and unrolled flag leaf of cultivar Claire was
204 measured in 2009 and 2010 with a Scholander pressure bomb (SKMP 1405/50, Skye
205 Instruments Ltd) using five randomly-selected shoots from the unsprayed control and five
206 from the GS 33 treatment one day before spraying, one day after spraying and three days
207 after spraying. Five shoots were also used for leaf water potential measurement of the
208 irrigated unsprayed control plots in 2009. In 2010, lack of time only permitted five shoots
209 to be measured for the first block, three shoots for the second block and two shoots for the
210 third block.

211 *Pollen viability assessment*

212 Pollen viability was assessed by the presence or absence of accumulated starch in 2010
213 and in the experiment irrigated after GS 69 in 2011. From each plot 10 anthers, which had
214 just dehisced, were excised and transferred into an Eppendorf tube with 1.5 ml of Lugol’s
215 solution (Sigma-Aldrich, Dorset, UK). The tube was closed and shaken gently so that the
216 pollen grains were released into the solution. Only one anther excised from a first floret in

217 the middle of the spike was used, and the 10 spikes were selected from random locations.
218 Dark colour eppendorf tubes were used, since Lugol's solution decomposes in the presence
219 of direct sunlight. Immediately after the completion of pollen collection from each plot, the
220 tube was placed in the dark in a box. The tubes were transferred to the laboratory and
221 stored at 4°C in the dark.

222 Within a week of collection, each eppendorf tube was shaken gently so that the pollen
223 grains distributed evenly within the solution, and 1 ml of the sample was transferred into
224 2.5 ml of distilled water in a watch-glass. A 1 ml sub-sample was then transferred into a
225 Sedgwick Rafter counting chamber using a disposable plastic pipette. The pollen grains in
226 the Sedgwick Rafter counting chamber were observed under the light microscope (40x10).
227 Pollen grains stained fully with a dark blue/black colour were considered fertile and pollen
228 grains which were unstained or partially stained were considered sterile (Nelson 1968). In
229 2010, the total number of pollen grains and the number of fertile pollen grains within a
230 randomly selected grid cell were counted and the percentage of fertile pollen in the grid
231 cell was calculated. From each diluted sample, three replicates of pollen samples were
232 observed. In 2011, ten randomly selected grid cells were counted.

233 *Yield and yield component assessment*

234 At maturity, and a few days before harvest, all head-bearing shoots from one 1 m² quadrat
235 in a randomly selected position per plot were removed and counted. The samples were
236 threshed using an electric thresher (Wintersteiger, Austria), cleaned to remove chaff, the
237 moisture content measured with a moisture analyser (AP 6060, Sinar, Surrey, UK) and
238 weighed. Grain yield in t ha⁻¹ was calculated at 15% moisture. After weighing,
239 subsamples of about 40 g were separated from each sample, hand-cleaned, weighed again,
240 and the number of grains in each sub sample was counted with a grain counter

241 (CountAmatic Console, Farm-Tec, Whitby, UK). The grains head⁻¹ and thousand grain
242 weight (TGW) were calculated according to Sylvester-Bradley *et al.* (1985). The grains m⁻²
243 were calculated by multiplying the heads m⁻² by grains head⁻¹.

244 *Statistical analysis*

245 Data was analysed by ANOVA with using GenStat 13th edition (VSN International, Hemel
246 Hempstead UK). For some analyses, additional data were used as covariates in the
247 ANOVA and if significant the output from these analyses was presented. Where covariates
248 were not significant, the output from ANOVA without the covariate was presented. After
249 the completion of all the field experiments, the soil electrical conductivity was measured
250 by Soyl Precision Farming (Newbury, United Kingdom) using electromagnetic induction
251 at the locations of the field experiments to assess spatial differences in water-holding
252 capacity. The plot layouts were drawn to scale on the soil electrical conductivity maps to
253 quantify the electrical conductivities of each plot. The soil electrical conductivity of each
254 plot was then used as a covariate in the analyses of yield and yield component data from
255 the three years, but was only significant for yield for 2011. In 2009, there was a row of
256 plots (3 out of 36 plots) with a lower sowing rate compared to the other plots. When used
257 as a covariate, this difference was significant only in the ANOVA of grains head⁻¹. In
258 2011, 18 out of 54 plots within Experiment 1 and 2 out of 18 plots within Experiment 2
259 were of low crop density (due to lower sowing rate and invasion of weeds). This difference
260 was included as a covariate, but was significant only for yield and heads m⁻².

261 Although the design of the four experiments in the three years differed, the unsprayed
262 control and antitranspirant application at GS 33 were common for all experiments. In order
263 to provide an overall test of the effect of an antitranspirant applied at GS 33, mean values
264 for these treatments, pooled standard errors of difference and t tests were calculated over

265 all four experiments for yield components and yield. The overall effect of drought was not
266 tested, since the irrigated unsprayed control means were not part of the randomisation in
267 2011.

268 *Presentation of data*

269 The means and probabilities are presented in Tables 2 and 3 for two orthogonal contrasts:
270 (a) comparing the irrigated treatment with the mean of the unirrigated, unsprayed and
271 unirrigated, antitranspirant application at GS 33 treatments, and (b) within the unirrigated
272 treatments, comparing antitranspirant application at GS 33 with unsprayed. These contrasts
273 were chosen because the GS 33 timing appeared to give the largest response to
274 antitranspirant across the two years 2009 and 2010 (although timing differences were not
275 statistically significant),. and GS 33 was the only timing common to all three years, The
276 results for antitranspirant and control treatments are presented using means for the two
277 cultivars in 2009 and means for the two SMD regimes in 2010, because the interactions
278 between cultivar and antitranspirant treatment in 2009 and between SMD regime and
279 antitranspirant treatment in 2010 were not significant. The results presented for 2011 yield
280 and yield components were from analyses of the two experiments combined, since there
281 was little difference in response to antitranspirant between the two experiments. In
282 addition the means are presented of latex and di-1-p-menthene at GS 33 since there was no
283 significant difference between the two treatments.

284 **Results**

285 *Meiosis and growth stage*

286 Anthers which were at meiotic stages were from shoots at early GS 41 and the mean length
287 between the auricles of the flag leaf and the auricles of the penultimate leaf, of the 18

288 shoots which were bearing anthers at meiotic stages, was 4.5 cm (Fig. 1a), and the mean
289 length of the spikes was 6 cm (Fig. 1b). All the stages of meiosis were visible at early GS
290 41, and some of the observed stages of meiosis from anaphase 1 to tetrad stage (Bennett *et*
291 *al.* 1973) are shown in Fig. 2. The value 4.5 cm for the length between the auricles of the
292 flag leaf and the penultimate leaf was used to estimate the date that meiosis in pollen
293 mother cells occurred in each experiment on the assumption that the meiosis timing and
294 auricle length relationship was similar in subsequent years. The estimated date of meiosis
295 was 30 May, 28 May and 26 May respectively in the three years, equivalent to 16, 11, and
296 14 days after the GS 33 spray.

297 [Figs 1 and 2 near here]

298 *Weather and SMD*

299 The monthly mean temperature and rainfall for the external environment for each growing
300 season are shown in Table 1. The three years varied in mean temperature during the main
301 period of water use from April (when rain shelters were moved into position) to maturity
302 in August, with 2009 being the warmest (14.1°C) and 2010 being the coolest (13.6°C),
303 with 2011 intermediate (13.9°C).

304 [Table 1 near here]

305 The changes in SMD with time inside the rain shelters in all the three years, as calculated
306 by the IMS irrigation scheduling programme, are shown in Fig. 3. The SMDs at the spray
307 application time of GS 33 had not risen to the level of the available water capacity of 98
308 mm, but in all years exceeded the easily available water capacity (60% of the total
309 available water capacity, equivalent to 59 mm SMD). This indicates that plants would have
310 been beginning to experience stress in all three years, although this was greatest in 2011

311 and least in 2009. At the time of meiosis, the SMDs had risen above the total available
312 water capacity of 98 mm in 2011 and in 2010 for the high SMD regime, and the SMD in
313 2009 was not far below 98 mm, indicating severe stress in all three years. The low SMD
314 regime clearly reduced the deficit as intended.

315 In 2011, SMD was also calculated from the soil moisture measurements obtained from the
316 neutron probe as well, and regression analysis showed that the results from the two
317 methods are very closely related (Fig. 4; $p < 0.001$; $R^2 = 0.993$), giving confidence in the
318 IMS calculations. The equation of the fitted line indicates, however, that the IMS
319 algorithm slightly overestimated the SMD compared with the neutron probe, because the
320 intercept is above zero and the slope is slightly greater than one.

321 [Figs 3 and 4 near here]

322 *Leaf water potential*

323 The water potential values indicated that plants in the unirrigated plots were much more
324 stressed in 2010 than in 2009 (Table 2). Irrigation clearly reduced the stress in both years,
325 and the leaf water potential of the plants given antitranspirant treatment was less negative
326 than that of the unsprayed control plants three days after the spray application (borderline
327 significant in 2009). Averaged over both years, three days after spraying, drought reduced
328 the water potential from -0.40 to -0.88 in unsprayed plots, but only to -0.69 in sprayed
329 plots.

330 [Table 2 near here]

331 *Pollen viability, yield components and yield*

332 Drought gave a much greater reduction in pollen viability in 2010 than in 2011, but in both
333 years the treatment means indicated that pollen viability of the antitranspirant-treated

334 plants was greater than that of the unsprayed control, although this was only significant in
335 2010 (Table 3). Statistical significance for the yield components varied between years, but
336 generally the negative effect of drought on yield was from reduced grains m^{-2} , whereas the
337 TGW was not affected or was increased. Both heads m^{-2} and grains head^{-1} appeared to
338 contribute to the grains m^{-2} reduction. Yield of antitranspirant-treated plots was only
339 significantly improved in 2011, but there was an indication that yield benefited from
340 antitranspirant in all three years.

341 Combining data from all three years (Table 3) showed that drought reduced yield by 3.24 t
342 ha^{-1} , but in plots sprayed with antitranspirant drought only reduced yield by 2.58 t ha^{-1} , a
343 benefit from antitranspirant of 0.66 t ha^{-1} . This effect of the antitranspirant derived from
344 reduced loss of grains m^{-2} , with thousand grain weight unaffected. In turn, the response of
345 grains m^{-2} derived from a combination of effects on grains head^{-1} and heads m^{-2} .

346 [Table 3 near here]

347 Discussion

348 Since the rain shelters were necessary to ensure reliable imposition of drought, it is
349 possible that the protected environment within the rain shelters, in particular an increased
350 temperature, may have influenced the results. Two measures were taken to reduce
351 temperature rise inside the rain shelters: in all three years the lowest 1 m either side of the
352 rain shelters was not covered by polythene, and in 2010 and 2011 one open end of each
353 rain shelter faced the prevailing wind direction. Under dull conditions the difference in
354 temperature between the two environments was almost undetectable. When the solar
355 radiation was above 5 W m^{-2} and when there was no wind passing through the rain shelters
356 the maximum difference between air temperature inside and outside the shelters was 3 °C.
357 However, since results were always compared between plots within the same shelter and

358 exposed to the same environment, then environmental differences between the external
359 and internal environment should not affect the validity of the treatment comparisons.

360 The water potential values indicate that in both 2009 and 2010 the plants were stressed,
361 when compared with other studies on imposed drought in wheat plants e.g. Quarrie and
362 Jones (1979). Wheat leaf water potential displays anisohydric behaviour, i.e. the leaf water
363 potential changes according to the changes in the rate of transpiration during the day
364 (decreases with increasing transpiration rate and increases with decreasing transpiration
365 rate), and is lower in plants growing under high SMDs compared to plants under low
366 SMDs (Henson *et al.* 1989). Thus the less negative leaf water potential in antitranspirant-
367 treated plants found in the experiments in this paper is assumed to reflect more water
368 conserved within the plant as a result of reduced transpiration. These results are consistent
369 with the work of other researchers who have demonstrated that leaf water potential in other
370 anisohydric species is increased as a result of reduced transpiration by film antitranspirants
371 e.g. potato (Win *et al.* 1991), and with increases in leaf turgor from antitranspirant found in
372 a recent study on wheat (Abdullah *et al.* 2015) . Abdullah *et al.* (2015) also reported that in
373 their pot experiment application of an antitranspirant reduced water use and it is possible
374 that in the experiments described in this paper that moisture was conserved in the soil by
375 reduced transpiration and may have slowed the development of the SMD.

376 Wheat floral organs maintain high internal water status even in the period of substantial
377 leaf drying during drought stress; therefore, it appears that inhibition of pollen
378 development under drought stress results from a yet undefined signal from the roots or
379 other vegetative organs affected by drought stress (Saini and Westgate 2000). There are
380 reports that abscisic acid, cytokinins, reactive oxygen species and various other molecules
381 act as sporocidal signals in triggering drought stress response reactions in plants (Huang *et*
382 *al.* 2012), and it can be speculated that antitranspirant may reduce the production of

383 sporicidal signals and thereby mitigate drought effects on pollen viability. Indeed in
384 droughted canola, it has been shown that abscisic acid concentration in leaf and
385 reproductive organs is depressed after antitranspirant application (M. Faralli, personal
386 communication).

387 The antitranspirant was applied during late stem extension between 11 and 16 days before
388 the stage at which meiosis occurs, and sprays closer in time to this stage are generally less-
389 effective at reducing yield loss from drought (Kettlewell *et al.* 2010; Weerasinghe 2013).

390 The reason why a period of several days needs to elapse between spraying and meiosis to
391 achieve the best response is not clear. One possible hypothesis is that sufficient time is
392 needed for drought-induced sporicidal signals to degrade in response to the less negative
393 water potential. An alternative hypothesis could be that, during late stem extension
394 photosynthate supply is in excess and surplus photosynthate is being stored in stem
395 internodes (Schnyder 1993) and photosynthate supply is thus not critical for growth of
396 yield components. Therefore a reduction in photosynthesis from antitranspirant application
397 at late stem extension may be of relatively little consequence for yield, and by the time of
398 meiosis photosynthesis may not have reduced as much as in unsprayed plants. In support
399 of this, Abdullah *et al.* (2015) found that in wheat after a few days the decline in
400 photosynthesis in antitranspirant-treated plants stabilised compared with unsprayed plants
401 which continued to reduce in photosynthesis. Faralli *et al.* (2015) found similar results in
402 canola. In contrast, a reduction in photosynthesis from antitranspirant application at
403 meiosis may have detrimental effects on pollen viability which counteract the benefit from
404 reduced water loss.

405 The mitigation of drought damage to yield by antitranspirant resulting from alleviation of
406 drought damage to grains m^{-2} is consistent with the results of Abdullah *et al.* (2015) in
407 glasshouse-grown wheat. They found that reduced damage to yield from antitranspirant

408 resulted mainly from effects on grains head⁻¹ with little effect on head number or grain
409 weight. The antitranspirant effect on increasing heads m⁻² in the experiments in this paper
410 may have occurred indirectly through development of grains in tillers which would
411 otherwise have been infertile from drought effects on pollen, and therefore not counted as
412 fertile, grain-bearing heads. The lack of effect of drought (and antitranspirant) on TGW, is
413 presumed to be a result of yield component compensation (Egli 1998), since grain weight
414 is determined after the number of grains. This is consistent with the suggestion of Liu *et al.*
415 (2005) that drought damage to pollen has evolved because it confers a selective advantage
416 by reducing demand for the limited assimilate supply. The smaller number of grains set
417 can then each achieve sufficient carbohydrate reserves to enable successful seed
418 germination.

419 Some studies report yield improvements from film antitranspirants in other seed crops
420 including corn (Fuehring and Finckner 1983), sorghum (Fuehring 1973) and rapeseed
421 (Patil and De 1978). These studies, however, have not attempted to explore the effects of
422 film antitranspirants on yield components or on the underlying mechanisms of the yield
423 increase by antitranspirants. Our finding that the antitranspirant treatment alleviated the
424 effect of drought on pollen viability at the stage of meiosis in pollen mother cells appears
425 to be the first report of a study of the effect of film antitranspirants on pollen in relation to
426 yield. This finding is, however, an association and it is possible that there is not a causal
427 relationship between pollen viability and yield. Further studies are needed to explore the
428 association between antitranspirant application, reduced damage to pollen viability and
429 yield to clarify whether the relationship is causal.

430 A reduction in photosynthesis by an antitranspirant treatment at any growth stage may
431 reduce the total amount of photosynthate available for grain filling (i.e. the source). Yield
432 of modern wheat is, however, more sink limited than source limited during grain filling,

433 and it has been suggested that sink strength during grain filling is the main factor limiting
434 yield potential in wheat (Reynolds *et al.* 2009). This might be one of the reasons why an
435 increase in sink, even with a concomitant decrease in source, from an antitranspirant
436 application may ultimately bring about yield increases in wheat. Further research to
437 understand the dynamics of source-sink relations in antitranspirant-treated wheat may be
438 helpful in optimising the use of film antitranspirant for reducing drought damage to wheat
439 crops in semi-arid and arid areas.

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528

529 **Table 1. Monthly mean temperature and total rainfall for 2008/9 to 2010/11 growing**
 530 **seasons**

Month	Mean Temperature (°C)			Mean rainfall (mm/day)		
	2008/9	2009/10	2010/11	2008/9	2009/10	2010/11
October	9.95	11.95	10.1	3.3	1.7	1.9
November	7.05	8.50	4.80	2.0	4.1	1.3
December	3.30	2.70	-1.95	1.5	1.6	0.8
January	2.80	1.20	3.55	2.2	1.7	1.4
February	4.60	2.90	6.85	0.8	1.0	1.9
March	7.15	6.00	6.65	0.8	1.4	0.4
April	10.20	8.95	11.65	1.4	0.9	2.4
May	12.35	11.10	12.50	1.6	0.9	1.7
June	15.05	15.70	13.80	3.1	2.0	1.7
July	16.25	16.85	15.60	4.6	1.3	1.8
August	16.75	15.30	16.05	1.2	1.5	1.0

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539 **Table 2. Leaf water potential (MPa) before and after antitranspirant application**

Year	Measurement	IUC ^A	UC	GS33	SEM	<i>P</i> irrigated	<i>P</i>
	time relative					vs mean	antitranspirant
	to spray date					unirrigated	vs unsprayed
2009	-1	- 0.11	- 0.23	- 0. 24	0.025	0.014	0.676
	+1	- 0.12	- 0.22	- 0.14	0.034	0.192	0.142
	+3	- 0.26	- 0.73	- 0.56	0.042	0.002	0.051
2010	-1	- 0.49	- 1.09	- 1.07	0.077	0.003	0.823
	+1	- 0.40	- 1.09	- 0.85	0.063	0.002	0.055
	+3	- 0.54	- 1.03	- 0.81	0.044	0.002	0.026

540 ^AIUC = irrigated unsprayed control; UC = unsprayed control; GS33 = antitranspirant
 541 treatment at GS33; SEM = standard error of mean (26 degrees of freedom).

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545 **Table 3. Pollen viability, yield components and yield in response to antitranspirant**

Year	Measurement	Treatment			SEM ^B (DF)	<i>P</i> ^C	<i>P</i>
		IUC ^A	UC	GS 33		irrigated vs mean unirrigated	antitranspirant vs unsprayed
2009	Heads m ⁻²	361	248	281	12.6 (20)	<0.001	0.086
	Grains head ⁻¹	47.9	41.4	45.9	0.71 (19)	0.001	<0.001
	Grains m ⁻²	17050	10692	12638	563 (20)	<0.001	0.024
	TGW (g)	51.8	51.3	48.4	0.88 (20)	0.011	0.030
	Yield (t ha ⁻¹)	8.84	5.44	6.09	0.314 (20)	<0.001	0.158
2010	Pollen viability (%)	94.19	67.55	83.40	1.052 (10)	<0.001	<0.001
	Heads m ⁻²	462	356	392	17.8, 12.6 (16)	<0.001	0.056
	Grains head ⁻¹	41.2	40.6	41.4	1.95, 1.38 (16)	0.941	0.670
	Grains m ⁻²	18995	14437	16278	925, 654 (16)	<0.001	0.061
	TGW (g)	53.1	58.2	58.0	0.65, 0.55 (16)	<0.001	0.758
	Yield (t ha ⁻¹)	10.07	8.41	9.40	0.563, 0.398 (16)	0.029	0.095
2011	Pollen viability (%)	96.45	92.61	93.78	0.508, 0.360 (49)	NA	0.186
	Heads m ⁻²	431	286	291	5.8, 4.1 (63)	NA	0.418
	Grains head ⁻¹	43.1	32.0	33.2	0.57, 0.40 (64)	NA	0.099
	Grains m ⁻²	13542	9101	9718	215, 150 (62)	NA	0.023
	TGW (g)	52.4	56.4	56.1	0.39, 0.28 (64)	NA	0.513

	Yield (t ha ⁻¹)	9.74	5.08	5.42	0.116, 0.080 (62)	NA	0.022
Mean	Heads m ⁻²	418	297	321	5.8, 4.1 (103)	NA	0.004
	Grains head ⁻¹	44.1	38.0	40.2	0.57, 0.40 (103)	NA	0.004
	Grains m ⁻²	16529	11410	12878	212, 150 (102)	NA	<0.001
	TGW (g)	52.4	55.3	54.2	0.39, 0.28 (104)	NA	0.074
	Yield (t ha ⁻¹)	9.55	6.31	6.97	0.115, 0.081 (102)	NA	<0.001

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547 ^AIUC = irrigated unsprayed control; UC = unsprayed control; GS 33 = antitranspirant
548 treatment at GS 33

549 ^B SEM = standard error of mean; for 2010, first SEM applicable only to IUC and second
550 SEM applicable only to UC and GS 33 mean; for 2011 and year mean, first SEM
551 applicable only to UC, second SEM applicable only to GS 33

552 ^CNA = not applicable.

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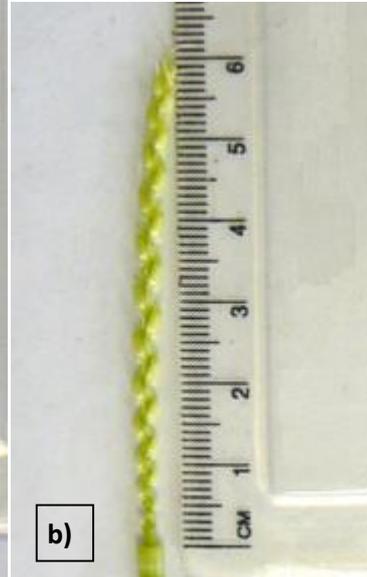
554 **Figure captions**

555 **Fig. 1.** **a)** A Claire shoot at early GS 41 (meiosis) **b)** A Claire spike bearing cells at
556 meiotic stages in the 1st and 2nd florets of the spikelets at the middle of the spike

557 **Fig. 2.** Nuclei of pollen mother cells of the cultivar Claire at **a)** anaphase I (late) **b)** dyad
558 stage (first telophase) **c)** just after metaphase II **d)** anaphase II (early) **e)** anaphase II (late)
559 **f)** tetrad stage; c.w. = callose wall; s.f. = spindle fibres; Estimated magnification is x6000
560 for a) and x4000 for b), c), d), e) and f).

561 **Fig. 3.** SMD calculated using the IMS irrigation scheduling program with nearby
562 meteorological station data, but with zero rainfall, from the dates rain shelters were
563 installed to the dates crops reached GS 69 in 2009, 2010 and 2011. H-SMD regime = high
564 SMD regime; L-SMD regime = low SMD regime; diamond symbol = antitranspirant
565 application date (GS 33); triangle symbol = date of meiosis.

566 **Fig. 4.** The relationship between the results from the IMS irrigation scheduling programme
567 and the neutron probe (NP) in 2011.



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569 **Fig. 1.**

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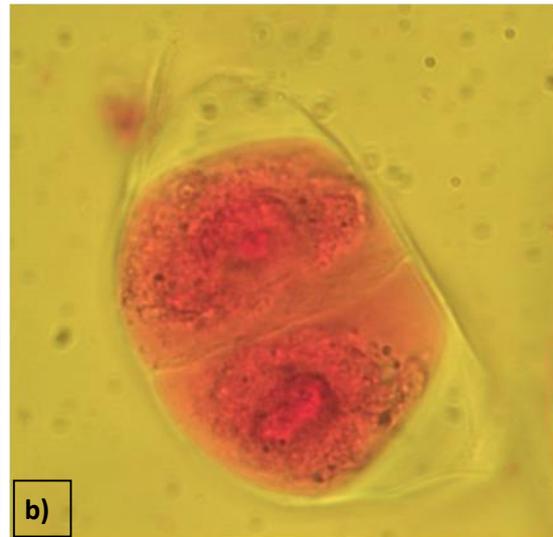
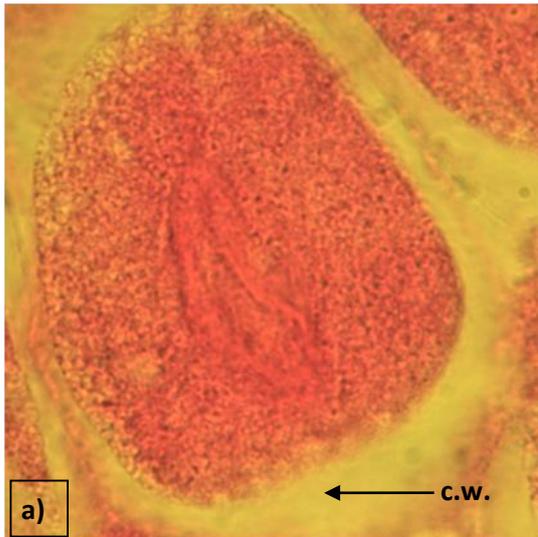
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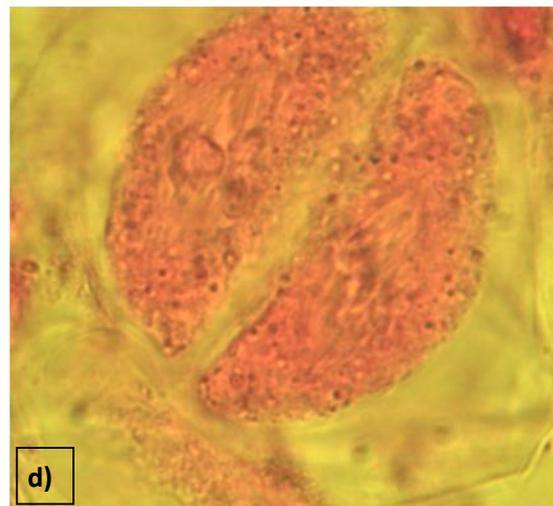
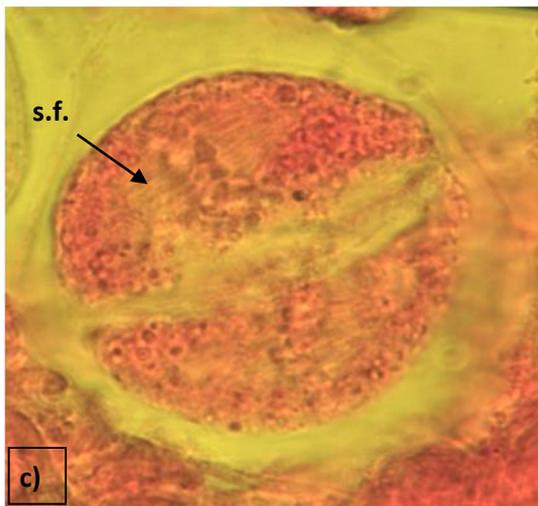
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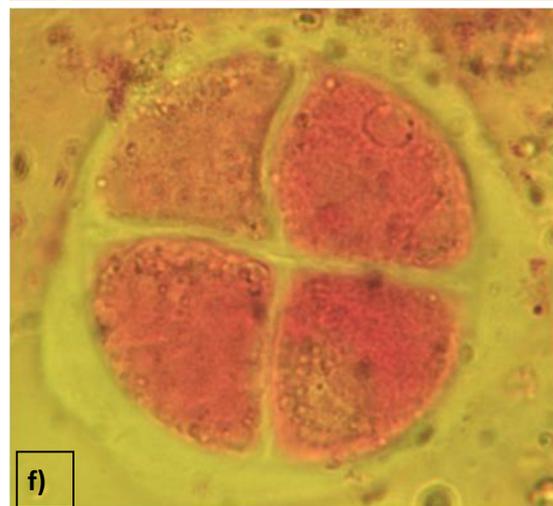
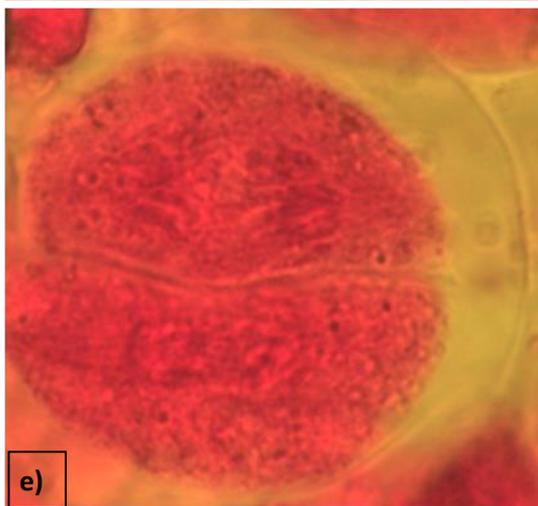
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595 **Fig.**

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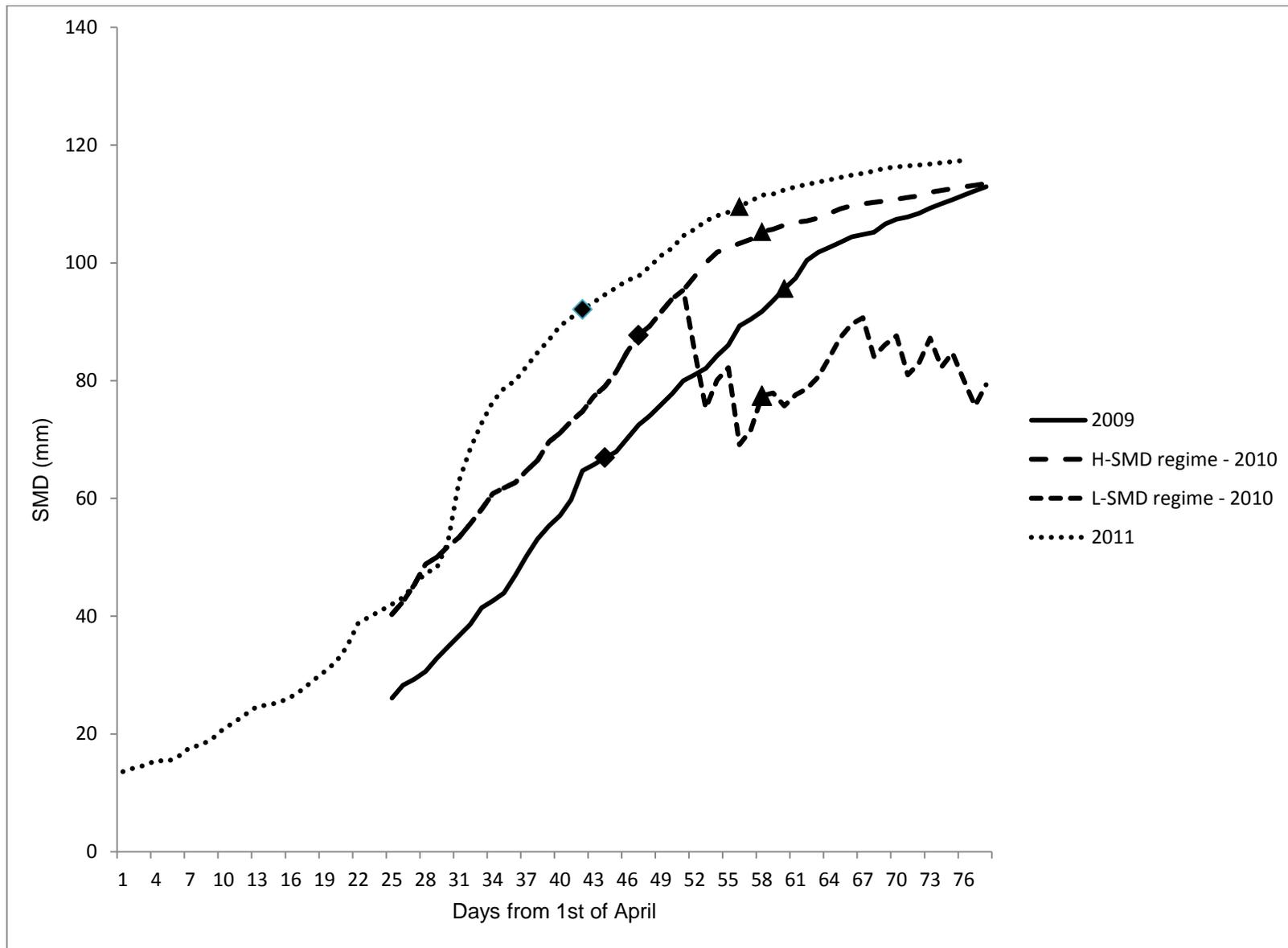


Fig. 3.

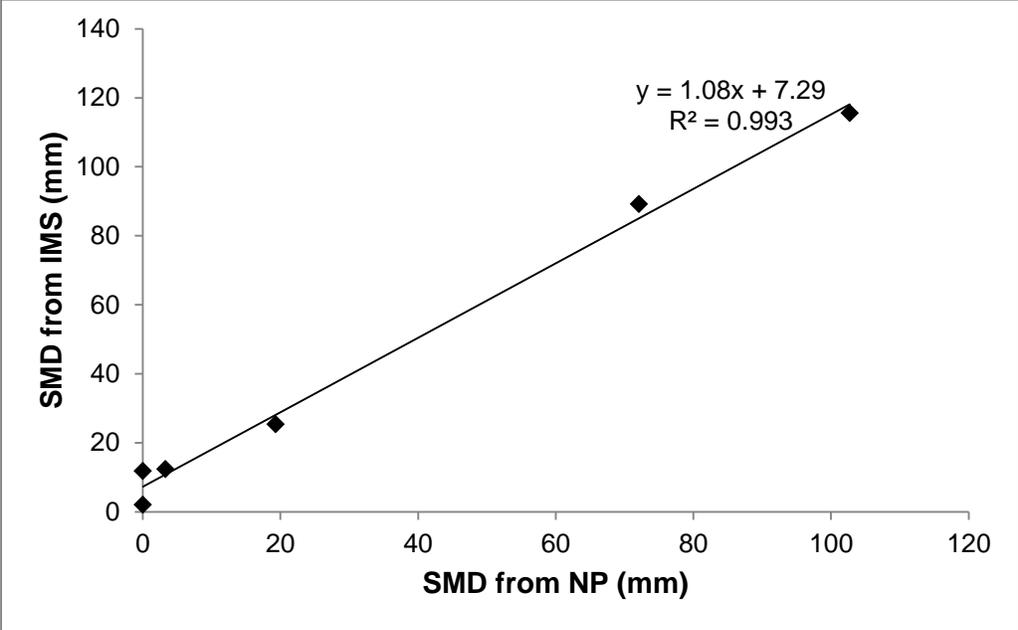


Fig. 4.